

Number of New, Completed, and Active Investigations by Fiscal Year (Updated Quarterly)

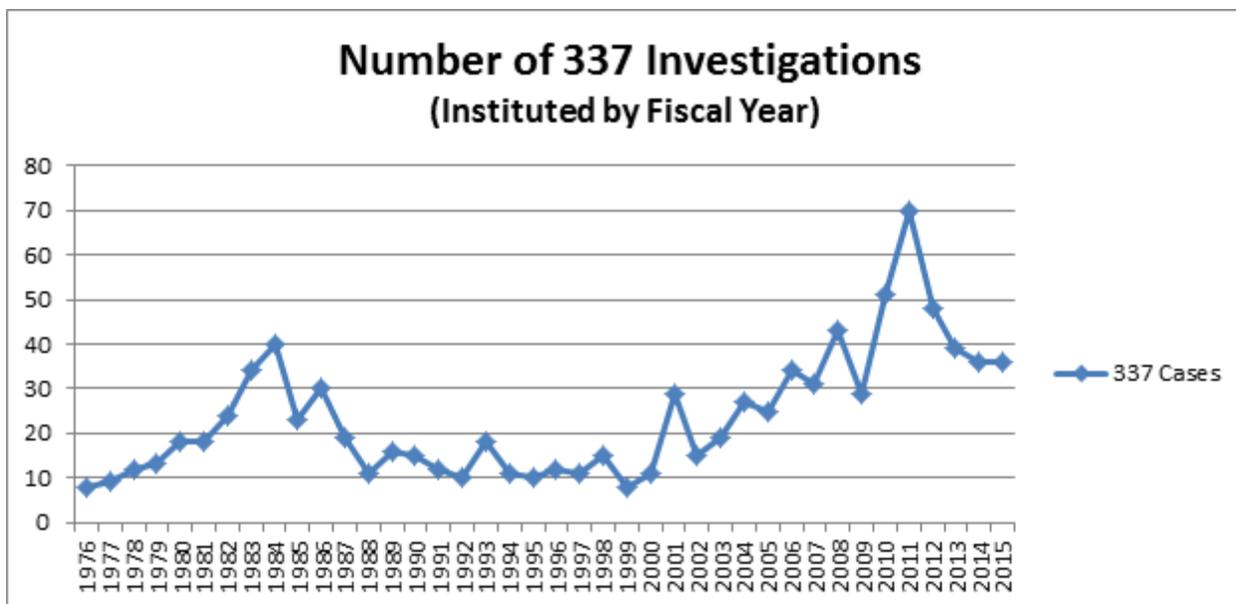
Fiscal year	New Complaints and Ancillary Proceedings	Investigations and Ancillary Proceedings Completed	Active Investigations
2006	40	30	70
2007*	33	35	73
2008	50	38	89
2009	37	43	89
2010	58	52	103
2011	78	58	129
2012	56	57	129
2013	52	72	124
2014**	49	59	100
2015	47	50	88
Q2 FY 2016	38	16	76

*In FY 2007, the USITC started to include proceedings based on remands from the U.S. Court of Appeals for the Federal Circuit in its calculation of ancillary proceedings.

**In FY 2014, the USITC also started to include proceedings based on a request for the rescission of an exclusion order in its calculation of the number of ancillary proceedings filed.

Source: USITC, Budget Justifications, FY 2008–FY 2016; USITC, Performance and Accountability Report, FY 2006–FY 2010; USITC, Annual Performance Report, FY 2011–FY 2012; USITC, Annual Performance Plan FY 2014–2015 and Annual Performance Report, FY 2013; USITC, Annual Performance Plan FY 2015–2016 and Annual Performance Report, FY 2014; USITC, Year in Review, FY 2006–FY 2010; U.S. International Trade Commission FY 2011 At A Glance; U.S. International Trade Commission FY 2012 At A Glance; U.S. International Trade Commission FY 2013 At A Glance.

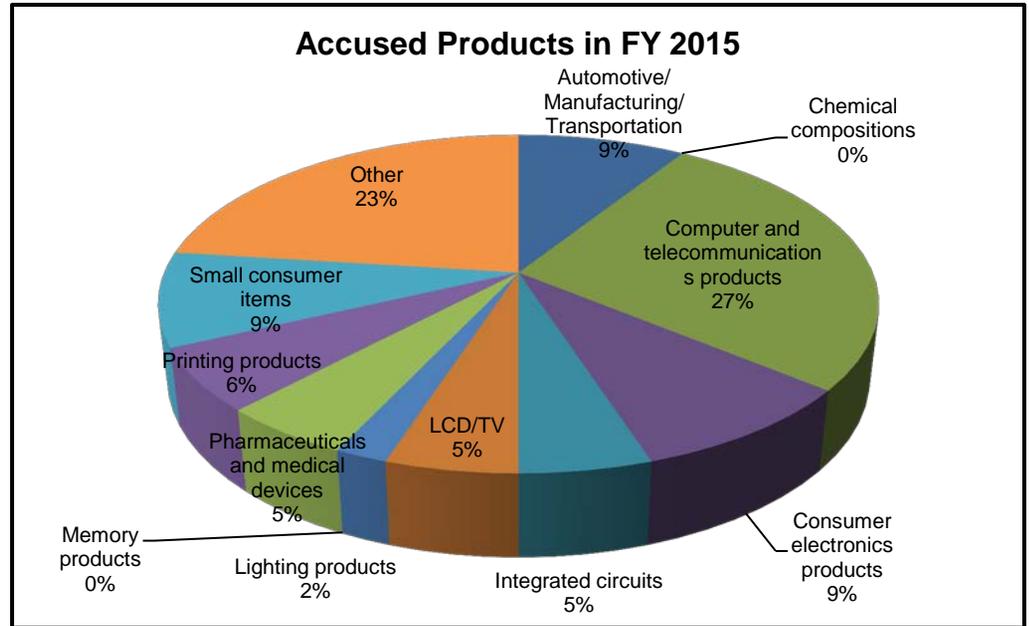
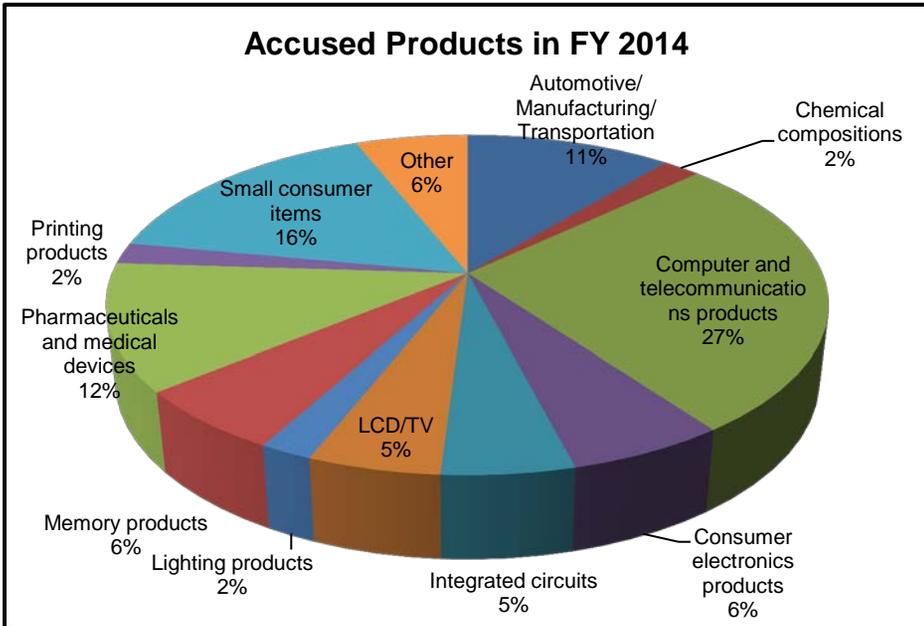
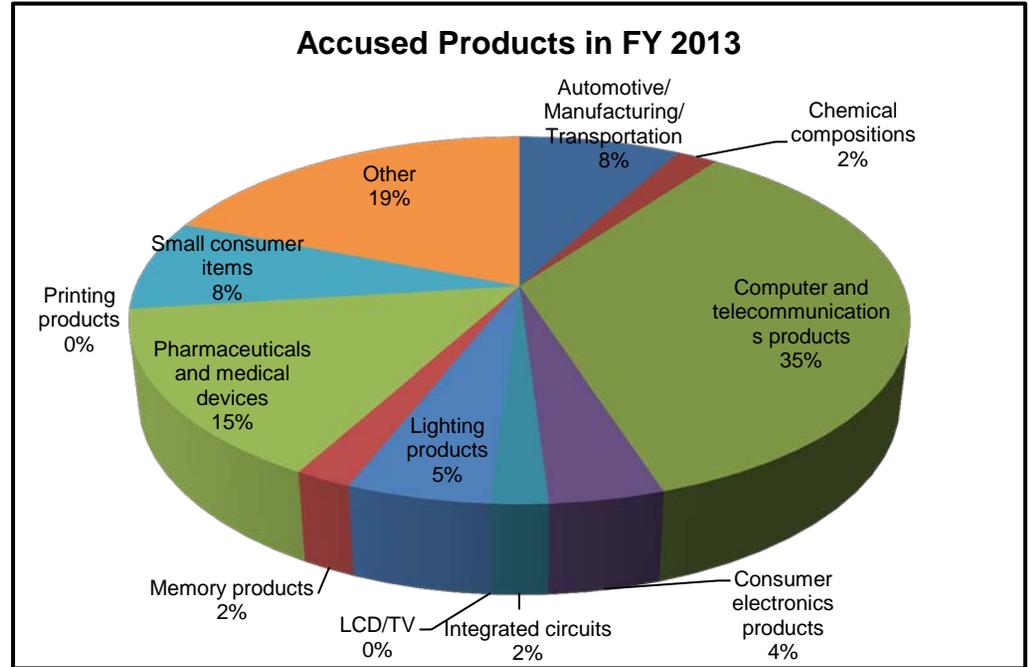
337 Investigations Instituted by Fiscal Year



Note: This line chart does not include ancillary proceedings.

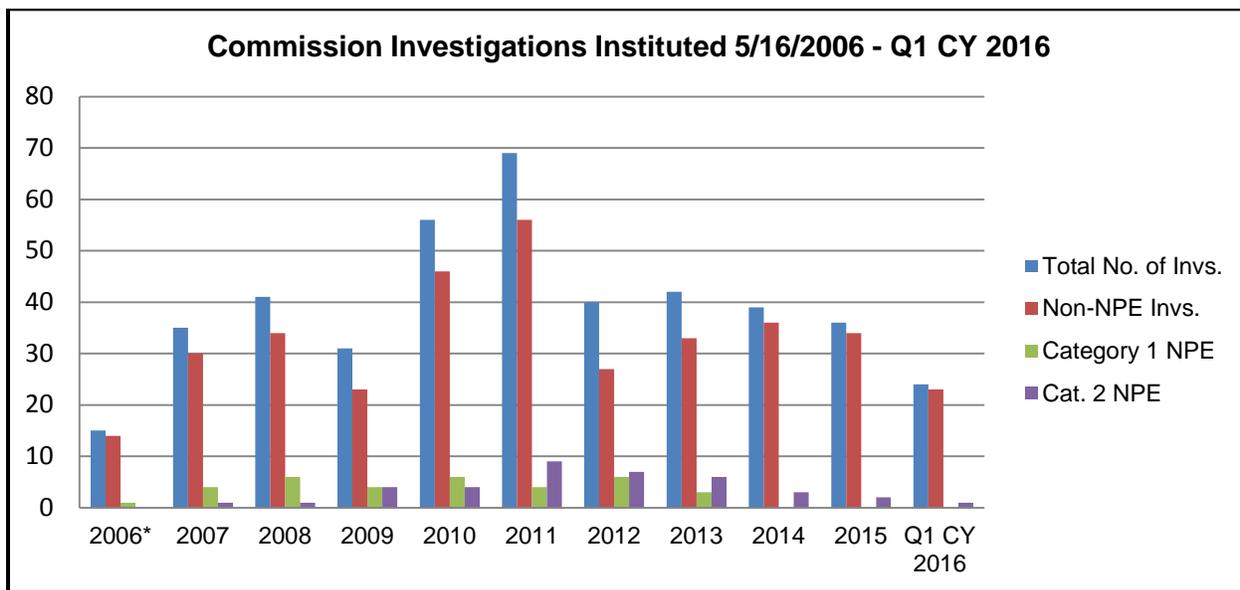
Types of Accused Products in New Filings by Fiscal Year (Percent of Total Cases Filed) (Updated Annually)

Product Type	2009	2010	2011	2012	2013	2014	2015
Automotive/Manufacturing/Transportation	7%	5%	4%	4%	8%	11%	9%
Chemical compositions	2%	0%	1%	2%	2%	2%	0%
Computer and telecommunications products							
Consumer electronics products	17%	19%	25%	27%	35%	27%	27%
Integrated circuits	10%	12%	15%	18%	4%	6%	9%
LCD/TV	12%	14%	6%	16%	2%	5%	5%
LCD/TV	7%	14%	17%	4%	0%	5%	5%
Lighting products	7%	3%	5%	2%	5%	2%	2%
Memory products	7%	3%	5%	0%	2%	6%	0%
Pharmaceuticals and medical devices	7%	2%	5%	5%	15%	12%	5%
Printing products	5%	9%	4%	2%	0%	2%	6%
Small consumer items	5%	3%	8%	10%	8%	16%	9%
Other	14%	16%	5%	10%	19%	6%	23%



Number of Section 337 Investigations Brought by NPEs (Updated Quarterly)

Calendar Year	Total No. of Invs.	Non-NPE Invs.	Category 1 NPE	Cat. 2 NPE
2006*	15	14	1	0
2007	35	30	4	1
2008	41	34	6	1
2009	31	23	4	4
2010	56	46	6	4
2011	69	56	4	9
2012	40	27	6	7
2013	42	33	3	6
2014	39	36	0	3
2015	36	34	0	2
Q1 CY 2016	24	23	0	1



*Partial year. The data reflects investigations instituted on or after May 16, 2006.

Note: No commonly accepted definition of an NPE exists. For analytical purposes, the Commission has gathered data using the following categories:

Category 1 NPEs. Entities that do not manufacture products that practice^[1] the asserted patents, including inventors who may have done R&D or built prototypes but do not make a product covered by the asserted patents and therefore rely on licensing to meet the domestic industry requirement; research institutions, such as universities and laboratories, that do not make products covered by the patents, and therefore rely on licensing to meet the domestic industry requirement; start-ups that possess IP rights but do not yet manufacture products that practice the patent; and manufacturers whose own products do not practice the asserted patents.

Category 2 NPEs. Entities that do not manufacture products that practice the asserted patents and whose business model primarily focuses on purchasing and asserting patents.

^[1] To “practice” the patent in the context of section 337 means that a product exists that satisfies at least one claim of each patent asserted in the investigation.

Source: USITC, Budget Justifications, FY 2008–FY 2016; USITC, Performance and Accountability Report, FY 2006–FY 2010; USITC, Annual Performance Report, FY 2011–FY 2012; USITC, Annual Performance Plan FY2014–2015 and Annual Performance Report, FY 2013; USITC, Annual Performance Plan FY 2015–2016 and Annual Performance Report, FY 2014; USITC, Year in Review, for Fiscal Years 2006–2010; U.S. International Trade Commission FY 2011 At A Glance; U.S. International Trade Commission FY 2012 At A Glance; and U.S. International Trade Commission FY 2013 At A Glance; the USITC’s Electronic Docket Information System (EDIS); USITC, 337Info.

Settlements and Withdrawn Complaints as a Percentage of Total Section 337 Investigations Terminated by Fiscal Year^a (Updated Annually)

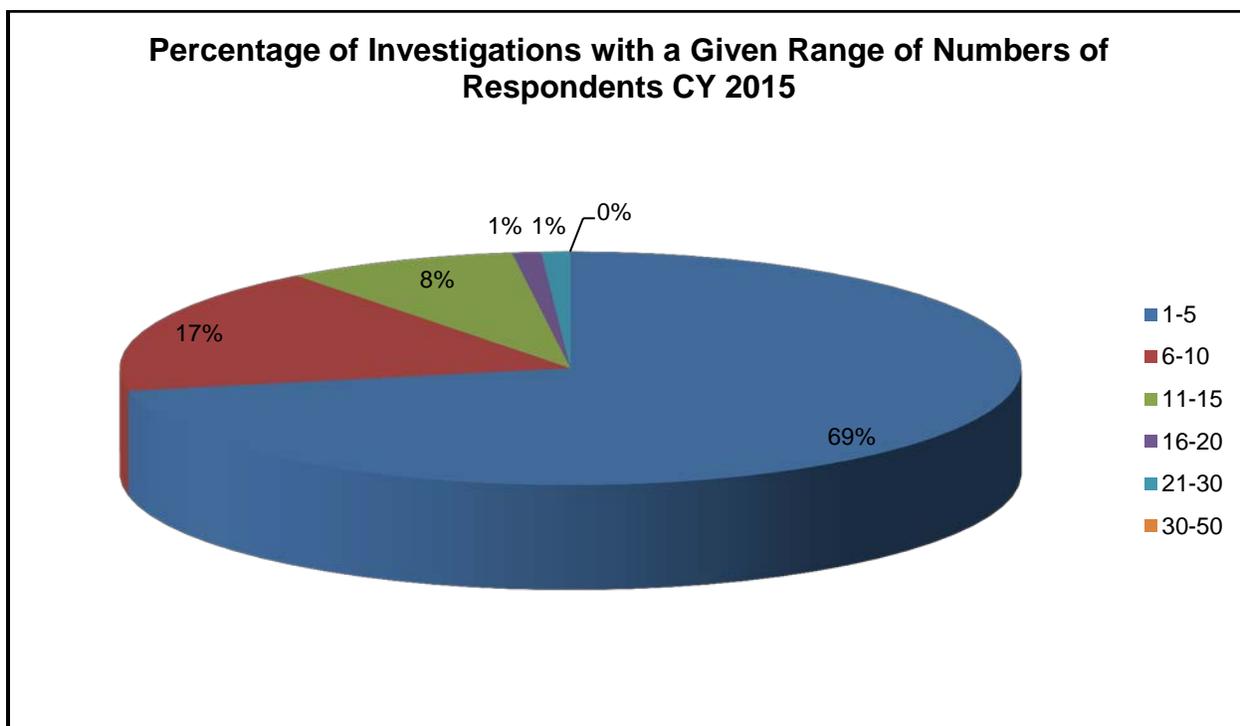
	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
Total No. Investigations	26	29	34	35	45	49	47	63	42	34
Settlement/ Consent Order	46%	37%	35%	45%	51%	57%	40%	40%	47%	59%
Complaints Withdrawn	8%	10%	15%	9%	4%	8%	13%	27%	10%	9%
Total Settlement/Consent Order or Withdrawn	54%	47%	50%	54%	55%	65%	53%	67%	57%	68%

^a Data represent terminations of original investigations instituted during the period FY 2006–FY 2014. Ancillary proceedings are not included in these data.

Source: USITC, 337Info

Percentage of Investigations with a Given Range of Numbers of Respondents by Calendar Year (Updated Annually)

No. of respondents	2009	2010	2011	2012	2013	2014	2015
1-5	58%	61%	58%	53%	61%	54%	69%
6-10	16%	19%	16%	15%	17%	10%	17%
11-15	16%	7%	13%	10%	15%	23%	8%
16-20	7%	7%	3%	2%	0%	0%	1%
21-30	3%	4%	6%	13%	7%	5%	1%
30-50	0%	2%	4%	7%	0%	8%	0%



Source: USITC, 337Info.